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Pats. v. Manson

Brenner, Comr.

bearing this mark. In addition, applicant has referred in its brief to eight registrations issued to third persons on the Principal Register, the Supplemental Register, or under the Act of 1920 for marks such as "EASY - KLEEN", "EASY CLEAN", "EASY - KLEEN", "EASY CLEAN", "EASY - KLEEN", and the like for various of products.

or notation consisting of two or more words of the English language may not, in its precise combination, be found in the dictionary and/or that a designation has been prominently displayed on or in association with a particular product does not, in and of itself, establish that such a notation is capable of distinguishing this product. See: Flexitized, Inc., et al. v. National Flexitized, Inc., et al. v. National Flexitized and an extensive advertising campaign of corporation et al., 12 USPQ 334 (CA 2, 1964). Likewise, an intention that a term be recognized as a trademark and an extensive advertising campaign of deto, establish the capability hipso facto, establish the capability hipso facto. Sea Weiss Noodle Company v. Golden Crackmel and Specialty Company, 129 USPQ 411 (CCPA, 1961). That is to say, while these are all factors in determining the capability of a designation to distinguish goods of a designation to distinguish goods of the term has or would have on the average purchaser of the goods. In making this determination, the nature of the term in relationship to the goods in connection with which it is used as well as the manner in which it is used must be considered. See: Q-Tips, Inc. v. Johnson & Johnson, 95 USPQ 264 (DC NN, 1952), and Supply Manufacturing Co., Inc. v. King Trimmings, Inc. et al., 139 USPQ 163 (DC NN, 1963).

cant is marketing glass overware coated with "the new Du Pont TEFLON nonstick finish" under its principal trademark "FIRE-KING". The designation "EASY CLEAN" appears on the label specimens in association not only with the mark "FIRE-KING" but with such wording as "NO STICKING! NO SCOURING!" and "even Burned Foods Will Not Stick! Just Sponge And Rinse Away!" The only promotional material made of record by applicant consists of a stiff board, which is a promotion of "TEFLON Coated Fire-King Ovenware. The designation "EASY CLEAN" appears on the stiff board (CLEAN" appears on the stiff board of the coated of the coated of the coated of the stiff board (CLEAN") appears on the stiff board (CLEAN"). below a pictorial representation of two hands in a sink cleaning a dish and above the words "Du Pont's new TEFis clear from the record that appli-

LON coating makes cleaning overware a real joy! No Sticking! No Scouring! Even burnt foods sponge and rinse away!". Applicant's overware is advertised in the leaflet as "The amazing easy clean, non-stick way to bake" and "Its polished surface is so easy to clean".

that the term "EASY CLEAN" immediately describes a very desirable characteristic of applicant's ovenware; and considering the manner in which the designation appears on the label specimens and in applicant's promotional material, the only significance that it has or would have to purchasers is to indicate that applicant's "TEFLON coated FIRE-KING ovenware is easy to clean". The examiner has made of record a page from the January 1966 edition of "Housewares Review" which contains an advertisement by another party promoting the "easy cleaning" features of its "TEFLON" coated kitchen tools. Moreover, judicial notice may be taken of the fact that a desirable characteristic of any "TEFLON" coated houseware product is the ease in which it can be cleaned.

[3] It would appear from the foregoing that the designation "EASY CLEAN" serves and would continue to serve only to describe the easy cleaning quality of applicant's "TEFLON" coated ovenware; and, in our opinion, it is therefore incapable of distinguishing applicant's goods in trade.

"EASY CLEAN" or phonetic equivalents thereof referred to by applicant are concerned, they are wholly immaterial to the question of whether or not the term "EASY CLEAN" is capable of distinguishing the particular and distinctly different goods of applicant herein. Each case must necessarily be own particular facts and circumstances. nodn determined

## Decision

ä 13 The refusal of registration firmed.

LEACH, Member, dissenting.

The term "EASY CLEAN" is clearly descriptive of applicant's ovenware, and I would concur in the majority opinion were applicant here seeking register the question to be determined here, however, is not whether "EASY CLEAN" is merely descriptive, or, as used by applicant, it would in fact identify and disting uish applicant's goods, but whether it is "capable" of

distinguishing the same, which is the only requirement for registration on the Supplemental Register.

wide variety of products, including clean out traps, nursing bottles, air filter panels, and the like. And, while it is recognized that these third-party registrations are not necessarily controlling on the issue hereof, they are to me persuasive that "EASY CLEAN" does possess the capacity of distinguish-As hereinbefore indicated "'EASY CLEAN", or variations thereof, has been registered numerous times for a ing applicant's goods.

I would reverse the examiner.

preme Court reviews decisions of District Court under 35 U.S.C. 145 although these are subject to same measure of readjudication in infringement

3. Pleading and practice in Patent Office-In general (§ 54.1) Prior adjudication-Applications for patent (§ 56.05)
Issue of patentability of applicant's

process cannot be foreclosed by that Patent Office has issued party a patent on same process.

4. Interference-Practice (§ 41.60)

Where applicant presents claim which on its face is unpatentable, an interference is not instituted to determine priority of invention since under Patent Office Rules 201(a) and 203(a) it must first be determined that claimed subject matter is patentable before interference is set up.

atentability — Subject matter for patent monopoly—Process, product and apparatus (§ 51.613) 5. Patentability -

Patentability-Utility (§ 51.75)

One may patent only that which is useful; chemical process is not useful within meaning of 35 U.S.C. 101 merely because it produces the intended product, for which no use is known, or because compound yielded belongs to a class of compounds which is the subject of serious scientific investigation.

One purpose of patent system is to 6. Patent grant-Intent of patent laws (§ 50.15) tion concerning discoveries and invenencourage dissemination

Particular patents-Organic Comspunod tions.

Organic applicajo of 3°C Compounds, claims 2 and tion refused. Preparation Manson,

On writ of certiorari to Court of Customs and Patent Appeals; 142 USPQ 35.

Application for patent of Andrew John Manson, Serial No. 3,693, filled Jan. 20, 1966; Patent Office Mechanized Division A. On writ of certiorari to review judgment reversing decision of Patent Office Board of Appeals rejecting claims 2 and 3. Reversed; Mr. Justice Douglas, concurring in part and dissenting in part without opinion.

In Justice Harlan, concurring in part and dissenting in part with opinion.

See also 145 USPQ 743.

PAUL BENDER (THURGOOD MARSHALL,

Supreme Court of the United States BRENNER, Comr. Pats. v. MANSON

Decided Mar. 21, 1966 No. 58

PATENTS

Court of Customs and Patent Appeals—In general (§ 28.01)

Supreme Court (§ 64.)

Decision of Court of Customs and Patent Appeals is that of a court established under Article III of Constitution; it is "judicial" in character, and not merely an instruction to Commissioner or part| of administrative machinery of Patent Office; it is final and hinding in the usual sense; there is no constitutional bar to Supreme Court's jurisdiction to review such a decision; till force and effect is given to 28 t. U.S.C. 1256, by which Congress intended that certiorari jurisdiction over CCPA cases be as broad as Constitution permits; Court's certiorari jurisdiction is not confined to dissatisfied apticants in patent cases but decisions in applicants' favor may be reviewed on Commissioner's petition for certiorari.

2. Prior adjudication-Applications for patent (§ 56.05)

Prior adjudication - Infringement

suits (§ 56.15)

4915 and Prior adjudication-R. S. R. S. 4918 suits (§ 56.30)

Supreme Court (§ 64.)

CCPA determination that applicant is entitled to patent does not preclude contrary result in subsequent infringement suit, any more than issuance of patent by Patent Office or decision in earlier infringement action against different infringer has that effect; Su-

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JOHN W. DOUGLAS, SHERMAN L. COHN, and EDWARD BERLIN on the brief) for

petitioner.

HERBERT P. KENWAY, Boston, Mass., and W. BROWN MORTON, JR., and ELLS-WORTH H. MOSHER, both of Washington, D. C., filed brief for the American Patent Law Association, as amicus DEAN LAURENCE (HERBERT I. SHERMAN and JOHN L. WHITE on the brief) all of Washington, D. C., for respondent. curiae.

Mr. Justice Fortas delivered the opinion of the Court.

This case presents two questions of importance to the administration of the patent laws: First, whether this Court has certionari jurisdiction, upon petition of the Commissioner of Patents, to review decisions of the Court of Customs and Patent Appeals; and, second, whether the practical utility of the compound produced by a chemical process is an essential element in establishing a prima facie case for the patentability of the process. The facts are as follows:

In December 1957, Howard Ringold and George Rosenkranz applied for a patent on an allegedly novel process for making certain known steroids. They claimed priority as of December 17, 1956, the date on which they had filed for a Mexican patent. United States Patent No. 2,908,693 issued late in 1959. In January 1960, respondent Manson, a chemist engaged in steroid research, filed an application to patent precisely the same process described by Ringold and Rosenkranz. He asserted that it was he who had discovered the process, and that he had done so before December 17, 1956. Accordingly, he requested that an "interference" be declared in order to try out the issue of Ringold and Rosenkranz.

of their process as "2-methyl dihydrotestosterone derivatives and essers thereof as
well as 2-methyl dihydrotestosterone derivatives having a C-17 lower alkyl group.
The products of the process of the present
linvention have a useful high anabolicandrogenic ratio and are especially valuable for treatment of those ailments where
an anabolic or antiestrogenic effect together with a lesser androgenic effect is

"35 U. S. C. § 135 (1964 ed.) provides:
"Whenever an application is made for a patent which, in the opinion of the Commissioner, would interfere with any pending application, or with any unexpired patent, he shall give notice thereof • • The question of priority of invention shall be determined by a board of patent inter-

Manson's application, and the denial was affirmed by the Board of Appeals within the Patent Office. The ground for rejection was the failure "to disclose any utility for" the chemical compound produced by the process. Letter of examiner, dated May 24, 1960. This omission was not cured, in the opinion of the Patent Office, by Manson's reference to an article in the November 1956 issue of the Journal of Organic Chemistry, 21 J. Org. Chem. 1333-1335, which revealed that steroids of a class which included the compound in question were undergoing screening for possible tumor-inhibiting effects in mice, and that a homologue 3 adjacent to Manson's steroid, had proven effective in that role. Said the Board of Appeals, "It is our view that the statutory reminement of methins." quirement of usefulness of a product cannot be presumed merely because it happens to be closely related to an-other compound which is shown to be useful."

The Court of Customs and Patent Appeals (hereinafter CCPA) reversed,

ferences • • • whose decision, if adverse to the claim of an applicant, shall constitute the final refusal by the Fatent Office of the claims involved, and the Commissioner may issue a patent to the applicant, who is adjudged the prior inventor

Fatent Office Rule 204(b), 37 CFR \$1204(b), provides: "When the filling date of an applicant is subsequent to the filling date of a patiente, the applicant, before an interference will be declared, shall file an affidavit that he made the invention in controversy in this country, before the filling date of the patentee \* \* and, when required, the applicant shall file an affidavit \* \* setting forth facts which would prima facie entitle him to an award of priority relative to the filling date of the patentee."

Judge Thurman Arnold has provided an irreverent description of the way patent claims, including "interferences," are presented to the Patent Office. See Monsanto Chemical Co. v. Coe. 145 F.2d 18, 62 USPQ 37 (C. A. D. C. Cir.).

3 "A homologous series is a family of chemically related compounds, the composition of which varies from member to member by CH. (one atom of carbon and two atoms of hydrogen) • • • Chemists knowing the properties of one member of a series would in general know what to expect in adjacent members." Application of Henze. 37 CCPA 1009, 1014, 181 F.2d 196, 200-201, 85 USPQ, 261, 264, See also In re Hass. 31 CCPA, 903, 907, 141 F.2d 122, 125, 60 USPQ, 54, 547; Application of Norris. 37 CCPA, 876, 179 F.2d 970, 84 USPQ, 458. Application of Jones, 32 CCPA, 1029, 149 F.2d 501, 65 USPQ, 480, With respect to the inferior predictability of steroid homologues, see, infra.

Chief Judge Worley, dissenting. 52 CCPA 739, 333 F.2d 234, 237-238, 142 to USPQ 35, 37-38. The court held that Manson was entitled to a declaration of interference since "where a claimed process produces a known product it is not necessary to show utility for the product," so long as the product, "so long as the product, "so long as the product, "is not alleged to be detrimental to the public interest." Certiorari was granted, 380 U.S. 971, 145 USPQ 743, to resolve this running dispute over what constitutes sa well as to answer the question concerning our certiorari jurisdiction.

Section 1256 of Title 28 U.S.C. (1964 ed.), enacted in 1948, provides that "Cases in the Court of Customs and Patent Appeals may be reviewed by the Supreme Court by writ of certiorari." This unqualified language would seem to foreclose any challenge to our jurisdiction in the present case. Both the Government 5 and the respondent urge that we have certiorari jurisdiction over patent decisions of the CCPA, although the latter would confine our jurisdiction to those petitions filled by dissatisfied applicants and would deny the Commissioner of Patents the right to seek certiorari. This concert of the confine our confine our jurisdiction to those petitions filled by dissatisfied applicants and would deny the Commissioner of Patents the right to seek certiorari.

the addition to the clear conflict between the Patent Office and the CCPA, there arguably exists one between the CCPA and the Court of Appeals for the District of Columbia. See Petrocarbon Limited v. Watson, 101 U.S. App. 214, 247 F.2d 800, 114 USPQ 94, cert. denied, 335 U.S. 955, 116 USPQ 602. But see Application of Ezwarc, 50 CCPA 1571, 1376-1583, 219 F.2d 277, 282-286, 138 USPQ 208, 211-16. The present case is the first in which the Government has taken the position that \$1256 confers jurisdiction upon this CCPA. Prior to Glidden Co. v. Zdanok, 370 U.S. 530, the Government was of the view that the Confers jurisdiction in Dalton, See, e.g., the Brief in Opposition in Dalton, and U.S. 188, 91 USPQ 382. After the decision in Glidden, discussed infra, the Government conceded the issue was a close one. See, e.g., Brief in Opposition in Internation either in the statutory language or in the legislative history of \$1256. Nor denied, 375 U.S. 967, 140 USPQ 694.

9 We find no warrant for this curtous limitation either in the statutory language or in the legislative history of \$1256. Nor denied, 375 U.S. 967, 140 USPQ 694.

9 We find persuasive the circumstance that the Commissioner may not appeals. 35 U.S.C. §7 (1964 ed.), it may be considered appropriate that the Commissioner be bound by Board deternation operates it

tion because jurisdiction cannot be conferred by consent of the parties. The doubt that does exist stems from a decision of this Court, rendered in January 1927, in Postum Gereal Co. v. California Fig. Nut Co., 272 U.S. 693, which has been widely interpreted as precluding jurisdiction over patent and trademark decisions of the CCPA.

statutory scheme materially different from the present one. Postum involved a proceeding in the Patent Office to cancel a trademark. The Commissioner of Patents rejected the application. An appeal was taken to the then Court of Appeals for the District of Columbia, which in 1927 exercised the jurisdiction later transferred to the CCPA. Under the statan "administrative decision" rather than a "judicial judgment": "merely an instruction to the Commissioner of Patents by a court which is made part of the machinery of the Patent Office for administrative purposes." 272 U.S., at 698-699. Therefore, this Court concluded, the proceeding in the Court of Appeals—essentially administrative in natrict Court and it was possible that a conflicting adjudication could thus be obtained. On this basis, the Cotrt held that it could not review the decision of the Court of Appeals. It held that the conclusion of the Court of Appeals was utory arrangements in effect an the time, the judgment of the Court of Appeals was not definitive because it was not an order to the Patent Office determina-Postum, however, was based upon a tive of the controversy. A subsequent bill in equity could be brought in the Disture — was neither case nor controversy within the meaning of Article III of the Constitution. Congress might such "administrative" tasks Columbia, wrote Chief Justice Taft, but it could not empower this Court to parthe courts of the District ticipate therein. confer nbon

Congress soon amended the statutory scheme. In March of 1927 it provided that an action in the District Court was to be alternative and not cumulative to appellate review, that it could judication in the Court of Appeals.7 In not be maintained to overcome an

to prevent his seeking review of adverse decisions rendered by the CCPA.

7 Act of March 2, 1927, c. 273, § 11, 44
Stat. 1335, 1336 See Glidden Go. v. Zdanok, supra, at 572-579; Kurland and Wolfson, Supreme Court Review of the Court of Customs and Patent Appeals, 18 Geo. Wash. L. Rev. 192 (1950). This remains the law. 35 U.S.C. §§ 141, 145.

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Appeals and was now styled the Coustons of Customs and Patent Appeals. Whereis as the Court of Appeals had been empowered to take additional evidence and
to substitute its judgment for that of the
Commissioner, the CCPA was confined to
the record made in the Patent Office.
Compare Federal Communications
Commin v. Pottsville Broadcasting Co.,
309 U.S. 134, 144-145. Despite these
changes, however, Postum had acquired
a life of its own. It continued to stand in
the way of attempts to secure review here
of CCPA decisions respecting the Commissioner of Patents. See, e.g., McBride
v. Teeple, 311 U.S. 649, 47 USPQ 531,
idetion" on the authority of Postum.10 1929 Congress transferred appellate jurisdiction over the Commissioner's decisions from the Court of Appeals to what had been the Court of Customs

This was the background against which Congress, in its 1948 codification of statutes pertaining to the judiciary, enacted § 1256, blandly providing in unqualified language for review on certiorari of "cases in the Court of Customs and Patent Appeals." Nothing the statute, except its language, is of assistance to us in the resolution of the present problem: Did the statutory that a patent decision by the CCPA was a "judicial" determination review. The by this Court under Article III?

Assistance came with the 1958 revision of the Judicial Code. Congress there declared the CCPA "a court established under article III " " " " that is, a constitutional court exercising judicial rather than administrative power. 28 U.S.C. § 211 (1964 ed.). In 1962 this Court addressed itself to the nature and status of the CCPA. Glidden Co. v. Zdanok. 370 U.S. 530 raised the question whether a judge of the CCPA was an Article III judge, the CCPA was an Article III judge, or capable of exercising federal judicial power. In answering that question in the affirmative, Mr. Justice Harlan's opinion, for three of the seven Justices designed the control of the seven Justices of the

Act of March 2, 1929, c. 488, 4 Stat. 475.

<sup>9</sup> See Kurland and Wolfson, op. cit. supra, n. 7, at 196.

<sup>10</sup> Apart from Postum, until exactment of \$1256 in 1948 there existed no statutory basis for jurisdiction in these cases. See Robertson and Kirkham, Jurisdiction of the Supreme Court of the United States, \$251 (Wolfson and Kurland ed. 1951).

tiorari jurisdiction over patent certiforari jurisdiction over patent cerademark cases decided in the CCPA, 379 U.S., at 578 n. 49. It suggested, however, that Postum might be nothing more than a museum piece. The opinion noted that Postum "must be taken to be limited to the statutory scheme in existence before" 1929. 370 U.S., at 579. The concurring opinion of Mr. Justice Clark, in which the Chief Justice joined, did not reflect any difference on this point.

[I] Thus, the decision sought to be reviewed is that of an Article III court. It is "judicial" in character. It is not merely an instruction to the Commissioner or part of the "administrative machinery" of the Patent Office. It is final and binding in the usual sense. Il n sum, Postum has no vitality in the present setting, and there remains no constitutional bar to our jurisdiction.

Having arrived at this conclusion, we have no difficulty in giving full force and effect to the generality of the language in § 1256. It would be entirely arbitrary for us to assume, despite the statutory language, that Congress in 1948 intended to enshrine Postum—dependent as it was upon a statutory scheme fundamentally altered in 1927 and 1920—into a hidden exception to the sweep of § 1256. The contrary is more plausible: that by using broad and unqualified language, Congress intended our certiorari jurisdiction over Stitution permits.

which would result were we to adopt a contrary view of \$1256. Determinations of the Patent Office may be challenged either by appeal to the CCPA or by suit instituted in the United States District Court for the District of Columbia, 35 U.S.C. § 145, 28 U.S.C. § 1542. (1964 ed.). Where the latter route is elected, the decision obtained may be reviewed in the Court of Appeals for the District of Columbia Cir-This conclusion is reinforced by ref-

determination that an applicant is entitled to a patent precludes a contrary result in a subsequent infingement suit, any more than issuance of a patent by the Patent Office or the decision in an earlier infringement action against a different infringement action against a different infringement action against a different USPO 459. We review decisions of the District Court under 35 U.S. 1 148 District Court under 35 U.S. 3145 although these are subject to the same measure of readjudication in infringement suits. See Hoover Co. v. Coe, 325 U.S. 79, 65 USPQ 180.

cuit, and ultimately in this Court upon varit of certiorari. Hoover Co. v. Coe, 325 U.S. 79, 65 USPQ 180. It would be strange indeed if corresponding certiorari jurisdiction did not exist where that so, in the event of conflict between the CCPA and the courts of twee tween the CCPA and the courts of District of Columbia, resolution by this Court would be achieveable only if the litigants chose to proceed through the latter. Obviously, the orderly administration both of our certiorari jurisdiction and of the patent laws requires lititat ultimate review be available in this Court, regardless of the route chosen by the litigants.

We therefore conclude that §1256 authorizes the grant of certiorari in the present case. We now turn to the merits.12

take a different view than does the Government of precisely what the issue on the merits is. They argue that the issue on the "patentability" is not properly before us, that the issue actually presented is that the issue actually presented is whether the Primary examiner in the Patent Office has authority under Rule 204 h of himself to evaluate the sufficiency of affidavits submitted under that Rule 204 cCPA rejected this view and focused instead on the question of what averments adained chemical process be "useful". We agree. First, the issue of "patentability" cannot be foreclosed by the circumstanted that the Patent Office—which, according to counsel for respondent, processes some to satisfy the statutory requirement that a cannot be foreclosed by the circumstanted their claim that their process yielded viewed—has already issued a patent to Ringold and Rosenkranz who asserted in their claim that their process yielded viewed proposition that even where an applicant of morphisms and itsues 700 patents each on its face is unpatentable, a complicated and frequently lengthy factual increased and frequently of invention must increased and frequently lengthy factual increased in interference proceeding as one involving "two or more parties claiming substantially the same patientable subject matter is claimed • • • " Emphasis subject matter is claimed • • • " Emphasis subject of claims to an applicant cannot be allowed merely of claims to an applicant where any question of interference for any question of interference for any question of morphatentable in order to set up an interference so and claims otherwise unpatentable in order to set up an interference so and claims otherwise unpatentable in order to set up an interference in set

[5] Our starting point is the proposition, neither disputed nor disputable, that one may patent only that which is "useful." In Graham v. John Deere Co., 382 U.S.—, 148 USPQ 459, we have reviewed the history of the requisites of patentability, and it need not be repeated here. Suffice it to say that the concept of utility has maintained a central place in all of our patent legislation, beginning with the first patent leminary in the law in 1700 13 and culminating in the present law's provision that

manufacture, or composition of mat-ter, or any new and useful improve-ment thereof, may obtain a patent therefor, subject to the conditions and requirements of this title." 14 "Whoever invents or discovers any new and useful process, machine,

As is so often the case, however, a simple, everyday word can be pregnant with ambiguity when applied to the facts of life. That this is so is demonstrated by the present conflict between the Patent Office and the CCPA over low the test is to be applied to a chemical process which yields an already known product whose utility—other than as a possible object of scientific inquiry—has not yet been evidenced. It was not long ago that agency and court seemed of one mind on the question. In application of Bremner, 37 CCPA 1032, 1034, 182 F.2d 216, 217, 86 USPQ 74, 75, the court affirmed rejection by the Patent Office of both process and product claims. It noted that "no use for the products claimed to be developed by the processes had been shown in the specification." It held that "it was never intended that a patent be granted upon a product, or a process producing a product, unless such product be useful." Nor was this new doctrine in the court. See Thomas v. Michael, 35 CCPA 1036, 1038-1039, 166 F.2d 944, 946-947, 77 USPQ 216, 217-218.

The Patent Office has remained steadfast in this view. The CCPA, however, has moved sharply away from Bremner. The trend began in Application of Nelson. 47 CCPA 1031, 280 F.2d 172, 126

CFR \$1203(a). makes it explicit that the examiner. "before the declaration of an interference," must determine the patentability of the claim as to each party. See also Rule 237, 37 CFR \$1237.

13 See Act of April 10, 1790. c. 7, 1 Stat. 109; Act of Feb. 21, 1793. c. 11, 1 Stat. 318; Act of July 4, 1836. c. 357, 5 Stat. 117; Act of July 8, 1870. c. 230, 16 Stat. 198; Rev. Stat. (1874) \$4886.

1+35 U.S.C. \$101 (1964 ed.).

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USPQ 242. There, the court reversed the Patent Office's rejection of a claim on a process yielding chemical intermediates "useful to chemists doing research on steroids," despite the absence of evidence that any of the steroids ithus ultimately produced were themselves "useful." The trend has accelerated, Is culminating in the present case where the court held it sufficient that a process produces the result intended and is not "detrimental to the public interest." Is 2 CCPA, at —, 333 F.24, at 238, 142.

It is not remarkable that differences arise as to how the test of usefulness is to be applied to chemical processes. Even if we knew precisely what Congress meant in 1790 when it devised the "mew and useful" phraseology and in subsequent re-enactments of the test, we should have difficulty in applying it in the context of contemporary chemistry where research is as comprehensive as man's grasp and where little or nothing is wholly beyond the pale of "utility"—it that word is given its broadest reach.

Respondent does not—at least, in the first instance—rest upon the extreme proposition, advance dby the court is below, that a novel chemical process is patentable so long as it yields the intended product 18 and so long as the product is not itself "detrimental." Nor product is not itself "detrimental." Nor ides he commit the outcome of his claim to the slightly more conventional proposition that any process is "useful" within the meaning of \$101 if it produces a compound whose potential usefulness is under investigation by serious scientific researchers, although he urges this position, too, as an alter-

cCPA 964, 314 F.2d 558, 136 USPQ, 435, the court reversed a Patent Office denial of a process claim, holding that 35 U.S.C. § 112 (1954) ed.) was satisfied even though the specification recited only the manner in which the process was to be used and not any use for the products thereby yielded. See also Application of Adams, 50 CCPA 1185, 316 F.2d 476, 137 USPQ, 333. In Application of Szwarc, 50 CCPA 1571, 319 F.2d. 277, 138 USPQ, 208, the court acknowledged that its view of the law respecting utility of chemical processes had changed since Bremner. See generally. Note, The Utility Requirement in the Fatent Law, 53 Geo. L. J. 154, 175-181

of whether the process yields a "known" of whether the process yields a "known" product. We fail to see the relevance of the fact that the product is "known," save to the extent that references to a compound in scientific literature suggest that it might be a subject of interest and possible investigation.

process has a specific utility which would entitle him to a declaration of interference even under the Patent Office's reading of § 101. The claim is that the supporting affidavits filed pursuant to Rule 204(b), by reference to Ringold's 1956 article, reveal that an adjacent homologue of the steroid yielded by his process has been demonstrated to have tumor-inhibiting effects in mice, and that this discloses the requisite utility. We do not accept any of these theories as an adequate basis for overriding the determination of the Patent Office that the "utility" requirenative basis for affirming the decision of the CCPA. Rather, he begins with the much more orthodox argument that his

ment has not been met.

Even on the assumption that the process would be patentiable were respondent to show that the steroid produced had a tumor-inhibiting effect in mice, 17 we would not overrule the Patent Office finding that respondent has not made such a showing. The Patent Office held that, despite the reference to the adjacent homologue, respondent's papers did not disclose a sufficient likelihood that the steroid yielded by his process would have similar tumorinhibiting characteristics. Indeed, respondent himself recognized that the presumption that adjacent homologues have the same utility 18 has been challenged in the steroid field because of we would not overturn the finding of the Primary Examiner, affirmed by the Board of Appeals and not challenged by the CCPA. "a greater known unpredictability of compounds in that field." 19 In these circumstances and in this technical area,

ve express no view as to the patentability of a process whose sole demonstrated utility is to yield a product shown to inhibit the growth of tumors in laboratory animals. See Application of Hitchings, 52 CCPA 1141, 342 F2d 80, 144 USPQ 637; Application of Reference of Application of Bergel, 48 CCPA 1102, 292 F2d 985, 130 USPQ 224; Application of Krimmel, 48 CCPA 1116, 292 F2d 984, 130 USPQ 224; Application of Krimmel, 48 CCPA 1116, 292 F2d 984, 130 USPQ 215. For a Patent Office view, see Marcus, The Patent Office and Pharmaceutical Invention, 47 J. F. O. S. 669, 673-676 (1965).

18 See n. 3, supra.

19 See respondent's letter requesting amendment, dated July 21, 1960, Record, pp. 20-23. Se also Application of Adams, 50 CCPA 1185, 1190, 316 F2d 476, 479-480, 137 USPQ 333, 335-336 dissenting opinion). In the present case, the Board of Appeals found support in the Ringold article itself for the view that "minor extension" activity." Record, p. 52.

The second and third points of respondent's argument present issues of much importance. Is a chemical process "useful" within the meaning of \$101 either (1) because it works—i.e., produces the intended product? or (2) because the compound yielded belongs to a class of compound yielded belongs to a serious scientific investigation? These contentions present the basic problem for our adjudication. Since we find no specific assistance in the legislative materials underlying \$101, we are remitted to an analysis of the problem in alight of the general intent of Congress, the purposes of the patent system, and the implications of a decision one way the problem in a state of the implications of a decision one way or the other.

In support of his plea that we attenuate the requirement of "utility," respondent relies upon Justice Story's well-known statement that a "useful" invention is one "which may be applied to a beneficial use in society, in contradistinction to an invention injurious to the morals, health, or good order of society, or frivolous and insignificant, 20—and upon the assertion that to do so would encourage inventors of new processes to publicize the event for the benefit of the entire scientific community, thus widening the search for uses and increasing the fund of scientific knowledge. Justice Story's language sheds little light on our subject. Narrowly read, it does no more than compel us to decide whether the invention in question is "frivolous and insignificant"—a query no easier of application than the one built into the statute. Read more broadly, so as to allow the patenting of any invention not positively harmful to society, it places such a special meaning on the word "useful" that we cannot accept it in the absence of evidence that Congress so intended. There are, after all, many things in this world which may not be considered "useful" but which, nevertheless, are totally without a capacity

[6] It is true, of course, that one of the purposes of the patent system is to encourage dissemination of information concerning discoveries and inventions.21

20 Appendix, Note on the Patent Laws.

3 Wheat. 13, 24. See also Justice Story's decisions on circuit in Lowell v. Lewis, 15 Fed. Cas. 1018 (No. 8568) (C. C. D. Mass.).

37 (No. 1217) (C. C. D. Mass.).

21 "As a reward for inventions and to encourage their disclosure, the United States offers a seventeen-year monopoly to an invention a trade secret." Universal Oil Frods. Co. v. Globe Oil & Ref. Co., 322 U.S. 471, 484, 61 USPQ 382, 388

process to some extent discourages be that may

disclosures and leads to greater secrecy than would otherwise be the case. The inventor of the process, or the corporate organization by which he is employed, has some incentive to keep the inventor of the process, or the corporate organization by which he is employed, has some incentive to keep the inventor of the highly developed art of drafting patent claims so that they disclose as little useful information as possible—the argument based upon the virtue of disclosure must be warily evaluated. Moreover, the pressure for secrecy is easily exaggerated for if the inventor of a process cannot himself ascertain a "use of the product himself ascertain a "use for that which his process yields, he has every incentive to make his invention where the product himself ascertain a "use of the product himself ascertain a "use of the warily evaluated for others into the uses to which the product may be put? To the extent that the product may be put? To the extent that the product of a patentee has power to enforce his patent of or encouraging disclosure and of inhibiting secrecy, we believe a more compelling consideration is that a process patent in the chemical field, which has not been developed and pointed to the degree of specific utility, creates a monopoly of knowledge which should by the statute. Until the process claim has been reduced to production of a production of a product shown to be useful, the metes and bounds of that monopoly are not capable of precise delineation. It may engret power to block off whole areas of scientific development, without compensating benefit to the public. The basic quid pro quo contemplated by the constitution and the Congress for granting a patent monopoly is the benefit exists in currently available form—there is insufficient justification for permitting an applicant to her benefit form—there is insufficient justification for permitting an applicant to be a broad field.

These arguments for and against the patentability of a process which either scientific research would apply equally has no known use or is useful only in

<sup>22</sup> See Monsanto Chemical Co. v. Coe, 145 F.24 18, 21-24, 62 USPQ 37, 43-44 (C.A. D.C. Cir.).

concurring

further research into possible pruses. The same infirmity seems

The statute in pertinent part is in the Court's opinion. 1 The

chemical products lacking immediate utility cannot be distinguished for present purposes from the processes which create them, that respondent appears to concede and the CCPA holds that the products are nonpatentable, and that therefore the processes are nonpatentable. Assuming that the two classes cannot be distinguished, a point not a adequately considered in the briefs, and assuming further that the CCPA has virully held such products nonpatentable<sup>2</sup>, this permits us to conclude only that the CCPA is wrong either as to the products or as to the products on as to the products or as to both or neither should be patentable both or neither should be patentable beats and a secretic product use.

More to the point, I think, are the nents against patentability: namely, that disclosure induced by allowing a patent is partly undercut by patentability: namely, that disclosure induced by allowing a patent is partly undercut by patentapplication drafting techniques, that disclosure may occur without granting a patent, and that a patent will discourage others from inventing uses for the product. How far opaque drafting may lessen the public benefits resulting from the issuance of a patent is not shown by any evidence in this case but, more important, the argument operates against all patents and gives no reason for singling out the class involved here. The thought that these inventions may be more likely than most to be disclosed even if patents are not allowed may in the more force; but while empirical study of the industry might reveal that chemical researchers would behave in this fashion, the abstractly togical is choice for them seems to me to maintain secrecy until a product use can be discovered. As to discouraging the is no doubt this risk exists but the price paid for any patent is that research on other uses or improvements may be will reap much of the reward. From the standpoint of the public interest the Constitution seems to have resolved that choice in favor of patentability. What I find most troubling about hampered because the original patentee

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tion for its successful conclusion. patent system must be related world of commerce rather than realm of philosophy. \* \* \*" 25 The judgment of the CCPA and concession by respondent would hardly be controlling on an issue of this tentral importance, but I am less clear than the Court that such a concession exists. See, e.g., Brief for Respondent, p. 53. As to the CCPA, it is quite true that court purports in the very case under recourt purports in the very case under repatents, although its actual practice may be somewhat less firm. See Application of Adams, 50 CCPA 1185, 316 F24 476, 137 USPQ 333. Application of Nelson, 47 CCPA 1181, 280 F24 172, 126 USPQ 242,

impuct it may have on chemical research. Chemistry is a highly interresearch. Chemistry is a highly interresoriety may be the outcome of a number of different discoveries, one discoveries one chemist or research facility to invent and disseminate new processes and products may be vital to progress, although the product or process be without "utility" as the Court defines the term, because that discovery permits someone else to take a further but perhaps less difficult step leading to a commercially useful item. In my view, our awareness in this age of the importance of achieving and publicizing basic research should lead this Court to resolve uncertainties in its favor and uphold the respondent's position in this reached by may have

by what appears to have been the practice of the Patent Office during most of this century. While available proof is not conclusive, the commentators seem to be in agreement that until Application of Bremner, 37 CCPA 1032, 182 F.2d 216, 86 USPQ 74, in 1950, chemical patent applications were commonly granted although no resulting end use was stated or the statement was in extremely broad terms. Taking this to be true, Bremner represented a deviation from established practice which the CCPA has now sought to remedy in part only to find that the Patent Office does not want to return to the beaten track. If usefulness was typically regarded as inherent during a long and prolific period of chemical research and development in this country, surely this is added reason why the Court's result should not be adopted case. This position is strengthened, I

a Se, e. g., the statement of a Patent Office Examiner-in-Chief. "Until recomby it was also rather common to get patents on chemical compounds in cases where no use was indicated for the claimed compounds or in which a very broad indication or suggestion as to use was included in the application. [Bremner and another later ruling] • • • have put an end to this practice." Wolffe, Adequacy of Disclosure as Regards Specific Embodiment and Use of Invention, XLI J. P. O. S. 61, 66 (1959). The Government's brief in this case is in accord: "[1]It was apparently assumed by the Patent Office [prior to 1950] • • • that chemical compunds were necessarily useful. • • • and that specific inquiry beyond the success of the process was therefore unnecessary of the Drocess was Drocess Was also Colon Was Drocess was the Drocess was the Droces

part and dissenting in part.

to the patenting of the product produced by the process. Respondent appears to concede that with respect to a product, as opposed to a process, Con-

While I join the Court's opinion on the issue of certiorari jurisdiction, I cannot agree with its resolution of the important question of patentability.

Respondent has contended that a workable chemical process, which is both new and sufficiently nonobvious to satisfy the patent statute, is by its exister and "useful" as the statute employs that term.¹ Certainly this reading of "useful" in the statute is within the scope of the constitutional grant, which states only that "[t]o promote the Progress of Science and useful fimited times to those who produce the Progress of Science and useful and Discoveries" may be secured for limited times to those who produce the Progress of Regislative history on the somewhat differently worded and is on its face open both to respondent's construction and to the contrary reading given it by the Court. In the absence of legislative history on this issue, we are thrown and uphold the respondent's construction and to the contrary reading and that past practice favort. In the judgment of the Court of Customs and Patent Appeals (hereafter CCPA).

The Court's policy arguments are not convincing and that past practice favort the independent, I would reject the nate suguested that "[u]mil the process claim has been reduced to production of a product bounds of that monopoly are not force. For instance, it is suggested that "[u]mil the process claims are not disallowed because the products they produce may be of "wast" importance not, in any event, and perhaps unknowable area." I fall to see the relevance of these assertions; process claims are not disallowed because the product use provide much safeguard on this score or fix "metes and bounds" precisely since a hundred more uses may be found after a patent is granted and greatly enhance its value.

The further argument that an established product use is part of "[1] he basic quid pro quo" for the patent or is the require process. product, as opposed to a process, contress has struck the balance on the side of nonpatentability unless "utility" is shown. Indeed, the decisions of the CCPA are in accord with the view that a product may not be patented absent a showing of utility greater than any adduced in the present case. We find absolutely no warrant for the proposition that although Congress intended that no patent be granted on a chemical compound whose sole "utility" consists of its potential role as an object of use-testing, a different set of rules was meant to apply to the process which yielded the unpatentable product. That proposition seems to us little more than an attempt to evade the impact of the rules which concededly govern pater this is not a the rules which concededly govern pater this is not a the rules which concededly govern pater this is not at the rules which concededly govern pater this is not at the rules which concededly govern pater of the rules which concededly govern pater which is not at the rules which concededly govern pater which is not at the rules which concededly govern pater which is not at the rules which concededly govern pater which is not at the rules which concededly govern pater and a structure of the rules which concededly govern pater and a structure of the rules which concededly govern pater and a structure of the rules which concededly govern pater and a structure of the rules which concededly govern pater and a structure of the rules which concededly govern pater and a structure of the rules which concededly govern pater and a structure of the rules which concededly govern and the rules which t This is not to say that we mean to disparage the importance of contributions to the fund of scientific information short of something "useful," or that we are blind to the prospect that what now seems without "use" may tomorrow command the grateful attention of the public. But a patent is not a hunting license. It is not a reward for the search, but compensa-

23 See, eg., the decision below, 52 CCPA at 3738. See also Application of Bergel, 48 CCPA at 105, 292 F.2d at 958, 130 USPQ at 208 Cf. Application of Nelson, 47 CCPA at 1043-1044, 280 F.2d at 180-181, 126 USPQ at 289-251; Application of Folkers, 52 CCPA 1269, 344 F.2d 970, 145 USPQ 390. acquies-opinion, contro-

MR. JUSTICE DOUGLAS, while scing in Part I of the Court's dissents on the merits of the versy for substantially the stated by MR. JUSTICE HARLAN.

the inventor's search appears to begine very question whether the process "useful" simply because it facilitates research into possible product ceded enactment of the 1952 revision of the patent laws disclose no intention to create such a dichotomy, and in fact provide some evidence that the contrary was assumed. Sen. Rep. No. 1979. Committee of the Judiciary, 82d Cong., 2d Sess., 5. 17. H. R. Rep. No. 1923, Committee of the Judiciary, 82d Cong., 2d Sess., 6. 17. Cf. Hoxie, A Patent Attorney's View, 47. J. P. O. S. 630, 636 (1965).

25 Application of Ruschig, 52 CCPA 1238. 343 F.2d 965, 970, 145 USPQ 274. (Rich. J.). See also, Katz v. Hcmi Signal Mfg. Corp., 145 F.2d 961, 63 USPQ 190 (CA. 2d Cir.).

Wahl v. Carrier Mfg. Co., Inc.

until Congress expressly mandates it, presumably on the basis of empirical data which this Court does not possess. Fully recognizing that there is ample room for disagreement on this problem when, as here, it is reviewed in the abstract, I believe the decision below it

WAHL et al. v. CARRIER MANUFACTURING Court of Appeals, Seventh Circuit

Decided Mar. 9, 1966 Co., INC. No. 15252

## PATENTS

ourts of Appeals—Weight given findings of District Court—Validity and infringement (§ 29,359) 1. Courts of

tion of which requires resolution of conflicting expert testimony; court's recognition of difficulties inherent in arriving at findings in technical area does not remove findings from scope of FRCP 52(a); Rule applies insofar as detailed findings, upon which court predicates conclusions of validity and infringement, concern factual issues such as use made of prior art, nature Patent infringement action turns on ultimate technical facts the determinaacteristics and operational functions of patented structure and accused apparaof improvement over prior art, and chartus; if findings are not clearly erroneplied correct legal criteria in reaching ous, only question is whether court apultimate conclusions.

2. Patentability—Aggregation or combination—New or better result (§ 51.157)

Patentability—Evidence of — Delay and failure of others to produce invention (§ 51.459)

Claims are valid where they cover a new and useful combination of elements providing a new and unexpected result, performing a function not previously performed, and filling a long existing need.

3. Infringement-In general (§ 39.01)

Infringer cannot escape natural physical result of action of its machine by disclaiming intent to accomplish it.

Infringement—Identity of function or operation (§ 39.50)

Device infringes where it includes every element of patent claims and uses same principle of operation to produce

identical results in same manner as disclosed by patent.

2,800,252, Wahl, Powder-Feeding Apparatus, claims 14, 15, and 17 valid and Particular patents-Powder-Feeding infringed.

Appeal from District Court for Southern District of Indiana, Steck-

Action by Eugene A. Wahl and Vibra Screw Feeders Inc. against Carrier Manufacturing Co., Inc., for patent infringement. From judgment for plaintiffs, defendant appeals. Affirmed. THOMAS F. MCWILLIAMS, Chicago, Ill., for appellant.
RICHARD G. O'CONNOR, New Albany,
Ind., and STANTON T. LAWRENGE, JR.,
New York, N.Y., for appellees.

and Before Hastings, Chief Judge, ar KNOCH and CASTLE, Circuit Judges.

CASTLE, Circuit Judge.

fendant-appellant, prosecutes this appeal from the judgment order of the District Court entered against it in an action brought by Eugene A. Wahl and Vibra Screw Feeders, Inc., plaintiffs-appellees, charging Carrier with infringement of U.S. Patent No. 2,800, 252, en title of Powder-Feeding Apparatus. Carrier asserted invalidity of the patent and denied infringement. Following trial of the issues the court made and entered detailed findings of fact and conclusions of law. The court held claims 14, 15 and 17 of the patent valid and infringed by Carrier's device designated as plaintiffs' Exhibit p-11. Carrier Manufacturing Co., Inc.,

The subject matter of the patent in suit is a powder-feeding apparatus capable of discharging powdered and granular materials into a medium or container at a predetermined volumetric rate. The patented structure embraces, among other things, a storage hopper from which the material is fed to a dispensing tube housing an auger rotated as a predetermined and constant speed. The tube and the auger are simultaneously vibrated by suitable means, the vibration being deliberate and controlled imparting to the material being conveyed in the tube a constant density which enables the spaces between the flights of the screw to act chambers metering the material, causing it to be discharged from open end of the tube at a predeas a continuous succession of measurtermined volumetric rate. ing

<sup>1</sup> Wahl and the corporate plaintiff are, respectively, the owner and the exclusive licensee of the patent.

materials being me as ure d and dispensed, permits the achievement of such persacing accuracy as enables the apparatus to be successfully used for the measured feeding of such hard-to-handle materials as carbon black, including channel black, a light fluffy in powder used in the process of manufacturing photograph records; dry filter cel used in commercial filtration processes and previously required to be surried to obtain accurately measured seeding; and to meter sticky amorphous powders used in the chemical industries. Previous volumetric feeders were incapable of accurately measuring the dispensing of these and other hard-to-handle powdery or granular materials. It is mercial structure, which embodies the rejoyed commercial success. in a constant weight rate, and the record discloses that accuracy of feed by weight, such as pounds per minute or tons per hour, is important in many industrial applications. The controlled vibration of the auger, which supplies the factor of constant density to the The constant volumetric rate

Claim 14 is typical 2 of the three claims found to be valid, and to be infringed by Carrier's accused apparatus. it reads as follows:

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Claim 14. Apparatus for dispensing granular material or the like at a predetermined rate, comprising a member having a material-receiving portion and a material-discharge portion, an auger disposed in said member, means for rotating the auger about its axis to advance the material from the said receiving portion to said discharge portion, and separate power means for deliberately and controllably vibrating the auger during its rotation.

tions on appeal is that prior art references either establish such anticipation of Claims 14, 15 and 17 of the patent in suit as invalidates them or require a construction of the claim language which calls for "deliberately and conrotation" in a manner which precludes trollably vibrating the auger during its The main thrust of Carrier's conten-

C.A.) because of remarks by the court, following the post-trial arguments, to the effect that the case was not an easy one to decide, and in which the court identified the technical area presenting difficulty and causing it concern. by Carrier's accused device. Carrier also contends the District Court's factual findings are deprived of the usual finality imposed by Rule 52(a) of the Federal Rules of Civil Procedure (28 U.S.

The plaintiffs urge that substantial evidence supports the court's factual findings, and that the court's frank recognition that the tasks of resolving conflicting expert testimony, marshalling the pertinent facts, and analyzing the prior art, presented difficulty does not serve to detract from but actually emphasizes the applicability of Rule 52(a).

[1] We agree with plaintiffs that like many patent infringement actions this one turns on ultimate technical facts the determination of which requires resolution of conflicts in the testimons of experts, and that the court's recognition of the difficulties inherent in arriving at findings in this technical area did not remove those findings, when made, from the scope of Rule

findings of the court, upon which it predicates its conclusions of validity and infringement, concern factual issues such as the use made of prior art, the nature of the improvement made over prior art, and the characteristics and operational functions of the patential structure and the accused apparatus, Rule 52(a) of the Federal Rules of Civil Procedure (28 U.S.C.A.) applies. The court heard the testimony of expert witnesses in connection with these matters and witnessed the demonstration of the physical exhibits, including the operation of the accused apparatus. The scope of our review of such findings is therefore limited to a determination of whether or not they are "clearly erroneous". Armour & Co. v. Wilson & Co., 7 Cir., 274 F.2d 143, 151-157, 124 USPQ 116, 121-126; Minnesota Mining and Mfg. Co. v. Technical Tape Corp., 7 Cir., 309 F.2d 55, 57, 135 USPQ 176, 178-179; Aerosol Research Company v. Scovill Manufacturing Co., 7 Cir., 334 F.2d 751, 173, 141 USPQ 758, 760. If they find support in the evidence we are bound thereby and there remains but the question of whether the court ap-Therefore, insofar as the detailed plied the correct legal criteria in reaching the ultimate conclusions it did. cipally by adding a hopper with a discharge opening for feeding the material to the material-receiving portion; Claim 17 defines the material receiving and discharging member as a tube having an intake opening at one end and a discharge opening at the other end, with the auger rotating about its axis at a constant speed within the tube.

basis of the documentary evidence furnished by the patents themselves and The District Court found, on